

## I. Procurement Plan<sup>1</sup>

### Basic Data

<b>Project Name</b>	<b>Strengthening Public-Private Partnerships in the Philippines</b>		
<b>Project Number</b>	45515-001	<b>Approval Number:</b>	<b>7796-PHI</b>
<b>Country</b>	Philippines	<b>Executing Agency</b>	National Economic and Development Authority (NEDA)
<b>Project Procurement Classification</b>	Not classified earlier as previous template was used by ADB. Current classification is B	<b>Implementing Agency</b>	Public-Private Partnership (PPP) Center of the Philippines
<b>Procurement Risk</b>	Low		
<b>Project Financing Amount</b> <b>ADB Financing</b> <b>Non-ADB Financing</b>	\$ 0.75 million <sup>2</sup> \$ 0.25 million <sup>3</sup>	<b>Project Closing Date</b>	31 July 2016. (Extension by 2 years requested)
<b>Date of First Procurement Plan</b>	13 March 2013	<b>Date of this Procurement Plan</b>	23 March 2016

## A. Methods, Thresholds, Review and 18-Month Procurement Plan

### 1. Procurement and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods.

#### Procurement of Goods

Procurement of Goods	
Method	Threshold
International Competitive Bidding (ICB) for Goods	Above US\$ 1,000,000
National Competitive Bidding (NCB) for Goods	Between US\$ 100,000 and US\$ 1,000,000
Shopping for Goods	Below US\$ 100,000

<sup>1</sup> This Procurement Plan is only for the procurement of the ICT System to be installed in the PPP Center. Procurement plan under the PDMF component is not included

<sup>2</sup> Per Major Change in TA approved on 20 April 2012.

<sup>3</sup> Financing of the Government of the Philippines (taxes included).

## 2. Goods Contracts Estimated to Cost \$1 Million or More

Package No./ General Description	Estimated Value (\$'000)	No. of Contracts	Procurement Method	Review (Post/ Prior)	Bidding Procedures	Advertisement Date	Comments
There are no such contracts envisaged under IT component of the project							

## 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

Package No./ General Description	Estimated Value (\$'000)	No. of Contracts	Procurement Method	Review (Post/ Prior)	Bidding Procedures	Advertisement Date	Comments
There are no such contracts envisaged under IT component of the project							

## 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million

The following table groups smaller-value goods for which the activity is either ongoing or expected to commence within the next 18 months.

Package No./ General Description	Estimated Value (\$'000) <sup>4</sup>	No. of Contracts	Procurement Method	Review (Post/ Prior)	Bidding Procedures	Advertisement Date	Comments
Package 4 - Supply, installation, and Commissioning of Precision Air- conditioning System for the Data Center	56.36	1	Shopping	Prior Review		First Quarter 2016	Goods  Domestic preferenc e is not applicable .
Package 6 – Supply, Delivery and Installation and Commissioning of Fire Protection System for the Data Center	35.45	1	Shopping	Prior Review		First Quarter 2016	Goods  Domestic preferenc e is not applicable
Package 8 Lot 1 - Supply, installation and Commissioning of Network Devices for Redundancy	59.61	1	Shopping	Prior Review		First Quarter 2016	Goods

<sup>4</sup> Inclusive of 25% financing from Government of the Philippines. Php 47.08: USD 1 (BSP website - published December 2015).

Package No./ General Description	Estimated Value (\$'000)	No. of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedures	Advertisement Date	Comments
Lot 2 - Supply, installation and Commissioning of Video Conference and Teleconference Units	11.20	1	Shopping	Prior Review		First Quarter 2016	Goods Domestic preference is not applicable
Lot 3 – Supply and Delivery of Portable Hard Drive, Tablet and Digital Camera	10.60	1	Shopping				Goods Domestic preference is not applicable
Package 9 Additional Software Licenses	98.50	1	Shopping	Prior Review		First Quarter 2016	Goods Domestic preference is not applicable

**Review of Contract Modifications:** ADB will review contract modifications in accordance with the procedures set forth in the TA Letter between the Government of the Philippines and ADB.

#### B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the 18 months period).

Package No./ General Description	Estimated Value (\$'000)	No. of Contracts	Procurement Method	Review (Post/ Prior)	Bidding Procedures	Advertisement Date	Comments
There are no such contracts envisaged under IT component of the project							

#### C. List of Awarded and On-going, and Completed Contracts

The following table lists the awarded and on-going contracts, and completed contracts.

## 1. Awarded and Ongoing Contracts

Goods and Works						
Package No./ General Description	Estimated Value (\$'000) <sup>5</sup>	Contract Value	Procurement Method	Advertisement Date	Date of ADB Approval of Contract	Comments
Package 5 - Subscription and installation of Digital/SSL Certificates	6.88	9.04	Shopping	August 6, 2015	December 14, 2015	Netrust Philippines Corporation  Contract Signing Date: December 22, 2015

## 2. Completed Contracts

Package No./ General Description	Estimated Value <sup>6</sup> (\$'000)	Contract Value	Procurement Method	Advertisement Date	Date of ADB Approval of Contract	Comments
Package 1 - Supply, Delivery, Installation, Integration and Commissioning of Data Center Equipment and Systems Software including Training	447.50	361.72	NCB	November 4, 2013	February 6, 2014	
Package 2 Lot 1 - Supply, Delivery, Installation of End-user Devices Lot 2 - Network Devices, and Software including Training	150.88  149.38	118.12  110.23	NCB	February 13, 2014	May 23, 2014	
Package 3 - Supply, Delivery Installation and Commissioning	27.15	20.71	Shopping	August 6, 2015	October 12, 2015	Prior review

<sup>5</sup> Inclusive of 25% financing from the Government of the Philippines

of Back-up Power Supply System						
Package 7 - Supply and installation of Workflow Automation System with Rapid Development Application Platform /Tools	55.29	50.01	Shopping			This package was handled by GHD Pty Ltd and ADB

#### D. Non-ADB Financing

The US\$ 0.25 million financed by the Government of the Philippines is subdivided among the packages/lots listed in the previous tables, and incorporated in the contract values indicated for each.

#### E. National Competitive Bidding

### Annex 5A

#### Procurement of Information Technology Hardware and Software Under the Capacity Building Component

#### I. National Competitive Bidding

##### 1. General

The procedures to be followed for national competitive bidding shall be those provisions referring to open competitive bidding procedures set forth in Republic Act 9184 the Republic of the Philippines, effective 26 January 2003, and its Revised Implementing Rules and Regulations, effective 2 September 2009, with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines, Section I and paras. 3.3 and 3.4 of Section III.

##### 2. Eligibility

Eligibility screening shall not be applied. However, bids that do not contain any of the following documents will not pass the documentary compliance check:

- (i) evidence of the required financial, technical or production capability;
- (ii) audited financial statements;
- (iii) credit line, or cash deposit certificate;
- (iv) bid security; and
- (v) authority of the bid signatory.

National sanction lists may be applied only with prior approval of ADB.

##### 3. Advertising

Bidding of NCB contracts estimated at \$500,000 or more for goods and related services or \$1,000,000 or more for civil works shall be advertised in a newspaper of general circulation concurrently with the general procurement notices on ADB's website.

<sup>6</sup> Inclusive of 25% financing from the Government of the Philippines except for Package 7.

#### **4. Price of Bidding Document**

The price of bidding documents should be nominal, covering only reproduction and mailing/courier costs.

#### **5. Price Ceiling**

The approved budget for the contract (ABC) may be published, but it shall not be stated or implied that bid prices may not exceed the ABC, or that bid evaluation will in any way take into account the ABC. The ABC, budgetary allocation, ceiling price, or similar estimates of contract value may not be used to reject bids without prior concurrence of ADB.

#### **6. Preferences**

- (i) No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.
- (ii) Suppliers and contractors shall not be required to purchase local goods or supplies or materials.
- (iii) Foreign suppliers and contractors from ADB member countries shall be allowed to participate, if interested, without first being required to associate with, or enter into joint venture with, local firms.
- (iv) Foreign suppliers and contractors from ADB member countries shall be allowed to bid, without registration, licensing, and other government authorizations, leaving compliance with these requirements for after award and before signing of contract.

#### **7. Experience Qualification**

For works contract, the experience qualification requirement shall be: (i) at least one previous contract at 80% of the estimated cost of the contract being procured; and (ii) an annual turnover from all works averaged over the last three years equal to 100% of the estimated cost of the contract being procured.

#### **8. Anticorruption Provisions in Bidding Documents**

Anticorruption provisions in the Instructions to Bidders section of ADB standard bidding documents (SBDs) shall be incorporated into NCB bidding documents including those under "Corrupt Practices" and "Eligible Bidders" clauses of the SBDs.

#### **9. Bidding Period**

Bidders shall be given a minimum period to prepare and submit bids of 4 weeks, counted from the date of invitation to bid or the date of availability of bidding documents, whichever is later.

#### **10. Single Bid Submission**

When a lone bidder obtains a bidding document and submits a bid at the deadline for bid submission under a post-qualification bidding, bid opening and evaluation shall not proceed but it shall be considered a failure of bidding. Before taking any alternative procurement action, a proper assessment of the cause of lack of participation shall be made and ADB prior approval shall be sought for any proposed subsequent action.

#### **11. Shopping Method**

If included as a procurement method in the Procurement Plan, "Shopping" shall be undertaken in accordance with the *ADB Procurement Guidelines* (April 2010, as amended from time to time).

#### **12. Contract Amendment**

In case of contracts for prior review, modifications exceeding 15% of contract amount and material changes in the conditions during implementation require prior ADB concurrence.

#### **13. Member Country Restrictions**

Bidders must be nationals of member countries of ADB, and offered goods must be produced in member countries of ADB.